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Morey R. Wildes
Morey R. Wildes Reg. No. 36,968

Regarding the following Application:

Applicant(s): ROSEWICZ, Stefan et al.

Examiner: Not Yet Known

Serial No./ 10/593,533

Group Art Unit: Not Yet Known

Patent No.:

Filed/ September 19, 2006

Attorney Docket No.: P-9647-US

Issue Date:

Title: USES OF GALECTIN-2

Please find:

- | | |
|--|--|
| 1. <input type="checkbox"/> Provisional Application Cover Sheet | 11. <input type="checkbox"/> Response to Notice to File Missing Parts (____ Pages) |
| 2. <input type="checkbox"/> Utility Patent Application Transmittal | 12. <input type="checkbox"/> Response to Notice of Incomplete Reply (____ Pages) |
| 3. <input type="checkbox"/> RCE Transmittal Sheet | 13. <input type="checkbox"/> Request for Correction of Filing Receipt |
| 4. <input type="checkbox"/> Transmittal Sheet for Entering National Phase | 14. <input type="checkbox"/> Information Disclosure Statement including: |
| 5. <input type="checkbox"/> Design Patent Application Transmittal | - Form PTO/SE/08 and |
| | - references _____ |
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| 7. <input type="checkbox"/> Utility or Design Patent Application Under 35 USC 111(a) | 16. <input type="checkbox"/> Response to Office Action dated ____ (____ Pages) |
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| <input type="checkbox"/> National Phase Patent Application Under 35 USC 371 | 18. <input type="checkbox"/> Terminal Disclaimer |
| Containing: | 19. <input type="checkbox"/> Notice of Appeal |
| ____ Pages of Specification | 20. <input type="checkbox"/> Appeal Brief (____ Pages) |
| ____ Pages of Claims | 21. <input type="checkbox"/> Issue/ Publication Fee Transmittal |
| ____ Page of Abstract | 22. <input type="checkbox"/> Submission of Formal Drawings: Two sets of |
| ____ Pages of Formal Drawings | ____ Sheets containing Figs. _____ |
| ____ Pages of _____ | 23. <input type="checkbox"/> Certified copy of Priority Doc. |
| 8. <input type="checkbox"/> Signed Declaration & Power of Attorney (____ Pages) | 24. <input type="checkbox"/> Claim for Convention Priority |
| 9. <input type="checkbox"/> Request for Correction of Recordation of Assign. and: | 25. <input checked="" type="checkbox"/> Revocation and Power of Attorney, including: |
| - Recordation Cover Sheet | - Statement Under 37 CFR 3.73(b) |
| - Copy of Notice of Recordation of Assignment | - Copy of Assignment |
| 10. <input type="checkbox"/> Recordation of Assign. Cover Sheet & Signed Assign. | 26. <input type="checkbox"/> Other: (____ Pages) |

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PTO/SB/82 (09-09)

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AND
CHANGE OF CORRESPONDENCE
ADDRESS**

Application Number	10/593,533
Filing Date	September 19, 2006
First Named Inventor	ROSEWICZ, Stefan et al.
Group Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	P-9647-US

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I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: **49443**

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

49443

OR

☒ Firm or
Individual Name **Pearl Cohen Zedek Latzer, LLP**

Address **1500 Broadway**

Address **12th Floor**

City **New York**

State

NY

ZIP

10036

Country **USA**

Telephone **(646) 878-0800**

Fax

(646) 878-0801

I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name **Dr. Ch. Kuekenkamp**

Signature

Date

10.12.07

Telephone **+49 30 450570142**

Note: Signatures of all the inventors or assignees of record of the entire interest of their representatives(s) are required. Submit multiple forms if more than one signature is required, see below.*

☒ Total of **1** forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: **ROSEWICZ, Stefan et al**

Application No./Patent No.: **10/593,533**

Filed/Issue Date: **September 19, 2006**

Entitled: **USES OF GALECTIN-2**

Charite-Universitat-Smedizin Berlin

, a **University**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From:

To:

The document was recorded in the Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From:

To:

The document was recorded in the Patent and Trademark Office at

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To:

The document was recorded in the Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10.12.07

Date


Signature

Ch. Kruekenkamp

Typed or printed name

Dr.

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Docket No.: 833.1005

ASSIGNMENT

WHEREAS, WE, Axel DIGNASS; Andreas STURM; and Ehrengard ROSEWICZ (heir to deceased inventor Stefan ROSEWICZ), residing at Schönholzer Weg 20, 13158 Berlin, Germany; Huntstrasse 3a, 14167 Berlin, Germany; and Ludolfingerweg 24, 13465 Berlin, Germany, respectively, ASSIGNORS, have invented certain new and useful improvements in USES OF GALECTIN-2, for which an application for a Patent in the United States:

☒ is being executed this same day;

☐ was executed on _____;

☒ is identified by Davidson, Davidson & Kappel, LLC, Docket No. 833.1005;

☒ was filed on March 24, 2005 under Serial No. PCT/EP2005/003161;

☒ We hereby authorize and request our attorneys, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number _____, filed _____) the application number and filing date when known; and

WHEREAS, CHARITE-UNIVERSITÄT-SMEDIZIN BERLIN, of Schumannstrasse 20/21, 100117 Berlin, Germany, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

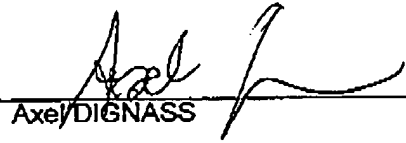
WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith; and

WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, WE hereunto set our hands and seals the days and years set opposite our signatures.

August 16, 2006

Date


Axel DIGNASS

August, 2006

Date

Andreas STRUM

August, 2006

Date

Ehrengard ROSEWICZ
(heir to deceased inventor
Stefan ROSEWICZ)

Docket No.: 833.1005

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WHEREAS, CHARITE-UNIVERSITÄT-SMEDIZIN BERLIN, of Schumannstrasse 20/21, 100117 Berlin, Germany, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith; and

WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

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August , 2006

Date

Axel DIGNASS

August 16 , 2006

Date

Andreas STRUM

August , 2006

Date

Ehrengard ROSEWICZ
(heir to deceased inventor
Stefan ROSEWICZ)

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August , 2006

Date

Axel DIGNASS

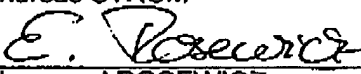
August , 2006

Date

Andreas STRUM

August 24 , 2006

Date


Ehrengard ROSEWICZ
(heir to deceased inventor
Stefan ROSEWICZ)